

<div>Agenda</div>		<div>October 18, 2011 10:30 am – 12:30 pm</div> <div>Caltrans 1120 N Street Room 4306 A (4th Floor, Division of Environmental Analysis) Sacramento, CA 95814 Contact: Abhijit Bagde (916) 654-3638</div> <div>Telephone Number: (712) 432-1438 Participant Access Code: 932832#</div>	
	<div>Meeting called by: Muhaned Aljabiry</div> <div>Facilitator: Abhijit Bagde</div> <div>Recorder: Bruce Abanathie</div>		
	Agenda Topics		
Item	Description	Time	Presenter
1	Agenda	10:30	Abhijit Bagde
2	Ground Rules	10:35	Abhijit Bagde
3	Approval of 7/26/2011 meeting minutes	10:40	Abhijit Bagde
4	<div>Announcements and updates:</div> <div>1. CT Federal Programming Office staffing – Muhaned Aljabiry</div> <div>2. FFY 2010 list of obligated projects – Abhijit Bagde</div> <div>3. FTA 5310 project list for FFY 2010 – Abhijit Bagde</div> <div>4. CT - Planning and FHWA’s webinar on Roundabouts (<a href="http://www.dot.ca.gov/researchconn/">http://www.dot.ca.gov/researchconn/</a>) – Juven Alvarez</div>	10:45	All
5	<div>Follow-Up Items from last meeting:</div> <div>1. Sri Srinivasan (MTC) will send the flex fund transfer template again as a reminder to MPOs to submit information.</div> <div>2. Sam Kaur (SJCOG) will send Jermaine Hannon (FHWA) the email requests for consultation with FHWA on the Federal Register listing requirements.</div> <div>3. Jermaine Hannon (FHWA) will work with FHWA Finance Office to assist Sam with questions.</div> <div>4. Sri Srinivasan (MTC) will send the information regarding air quality conformity related to widening of 1-lane bridge to a 2-lane bridge to Mike Brady who will work with FHWA to seek an answer.</div> <div>5. Abhijit Bagde (Caltrans) will send out a list of the JARC projects and the JARC/5307 transfer projects – Item completed, information posted on Caltrans Federal Programming website.</div> <div>6. MPOs/5307 recipients need to send the obligation updates to Caltrans-DMT and Caltrans-DMT will provide a lapse fund report for distribution by the Caltrans Federal Programming Office.</div> <div>7. Caltrans-DMT will work with MPOs and recipients to develop guidance and</div>	10:55	Abhijit Bagde

	delegation for FTA 5307 (Governor’s apportionment) for small urban areas.		
6	Schedule for the next FTIP/FSTIP - Update	11:10	Task Force
7	FTIP/FSTIP Development Workshop	11:25	Lima Huy
8	CMAQ Annual Report	11:35	Kang Tang
9	Division of Mass Transportation (DMT) Update	11:50	Michael Lange
10	CMAQ Program Procedures - Update	12:00	Lima Huy
11	Use of toll credits for the state managed programs for FFY 2011/12	12:05	Abhijit Bagde
12	EMFAC 2011	12:10	Mike Brady
13	<ul style="list-style-type: none"><li>Follow-Up Items</li><li>Open Forum</li><li>Future Agenda Items</li></ul>	12:20	All
14	<b>Future meeting dates and locations:</b> <ul style="list-style-type: none"><li>December 13, 2011 MTC, Oakland (10:30 am – 12:30 pm)</li></ul>	12:25	All

## California Federal Programming Group (CFPG)

Minutes for October 18, 2011:

### 1. Agenda:

Abhijit Bagde (CT Federal Programming Office) reviewed the agenda

Handouts were emailed to the group prior to the meeting (one was emailed the same morning and was provided as a handout) and will be addressed during the agenda item that they relate to.

### 2. Ground Rules:

- Since there are phone participants, everyone who speaks should state his/her name and agency.
- Keep comments as brief as possible.
- Stick to the current agenda item. Additional items not in the agenda will be added to the end and will be discussed if time permits.
- Turn off cell phones and limit interruptions.
- This is a forum to hear everyone's concerns, comments and suggestions. Please make sure your voice is heard.
- Facilitator to ask before moving on to the next item if anyone on the phone has any additional comments on the item, then pause for a few seconds.
- Respond to follow-up items and meeting notices by the deadlines.
- Except for follow-up items, the minutes will include discussions that take place during the meeting only. If you do not want what you say during the meeting included in the minutes, state "off the record."
- **When not speaking, phone participants to keep their phones on mute if possible.**
- **Do not place conference call on hold. Please hang up and redial if you must take another call.**
- Meeting minutes to be distributed to the group with 10 days after the meeting.

**Bolded items were emphasized by Abhijit.**

### 3. Minutes of the Last Meeting:

The final minutes of the July 26, 2011 meeting were provided via email prior to the meeting. Caltrans Staff had some changes after the minutes were emailed and will post the minutes with their changes on the programming website.

### 4. Announcements and Updates:

#### A. CT Federal Programming Office staffing – Muhaned Aljabiry

Muhaned (CT Federal Programming Office) informed the group that Sadegh Yazdi had rotated back to the CTC Liaison Office and that his assigned MPOs have been reassigned to other Programming Office staff. MPOs that were affected have been notified.

Muhaned also noted that Dennis Jacobs would be assuming CMAQ coordination duties for part of the state. This provides additional resources for the many CMAQ questions that



the office has been receiving and a back up for CMAQ questions when Lima is out of the office. The two coordinators will consult with each other to assure that the replies to CMAQ questions are consistent.

**B. FFY 2010 list of obligated projects – Abhijit Bagde**

The list for federally obligated local streets and highway projects was sent to MPOs September 29, 2011. The MPOs are required to make the list available to the public in accordance with SAFETEA-LU and their PPP.

**C. FTA 5310 project list for FFY 2010 – Abhijit Bagde**

The CTC approved allocation list for FTA 5310 has been distributed and is also available on the programming website. These projects are now 100% federally funded through the use of toll credits.

**D. CT - Planning and FHWA's webinar on Roundabouts**

(<http://www.dot.ca.gov/researchconn/>) – Juven Alvarez (Caltrans Planning)

Juven passed out an advertising flyer from the planning department for the upcoming webinar on roundabouts on the state highway system. Caltrans in coordination with FHWA is working on design and guidance for roundabouts on the state highway system. There are 11 roundabouts currently on the system and about 158 roundabouts on local roads. Although roundabouts have benefits, they do not work in every situation. The webinar is scheduled for November 9, 2011.

Muhaned asked if the information in the webinar applied only to roundabouts on the state highways and who the appropriate audience for this webinar would be. Juven responded that the information was regarding roundabouts on the system or in conjunction with the system. The appropriate audience is very broad and includes planners, engineers, right of way agents, or anyone who may be working on developing roundabout projects on or adjacent to the highway or would interact with the highway system.

Bruce (KCAG) asked for clarification on the manuals and design updates and whether the updates would affect the design of local agency roundabouts or just those roundabouts that will be built on the highways system. Juven replied that the work being done will only affect the design for roundabouts on the highway system, but that they have used some of the design elements from local roundabouts.

Lilibeth Green (CT Federal Programming Office) asked if the Traffic Operations Division was involved in this process. Juven stated that Ops and DRI were actively involved in both the development of the webinar and the guidance as well as the advertising for the webinar. Juven also noted that there are a number of roundabout projects currently being developed around the state that they are following for design and implementation.

*Juven will send a copy of the information about the webinar to Abhijit for distribution to the group.*

**Items not on the agenda**

Kang Tang (CT Federal Programming Office) thanked all of the programmers for using the new financial constraint template. Using the template made the job of rounding up all of the statewide numbers much easier for the programming office when updating the FSTIP.

Jose Luis (SACOG) noted that the new list for the SRTS (federal safe routes to schools) has been completed and should be released within the next few weeks. Abhijit added that the list is being reviewed for director's approval before being released. Heide (Safe Routes

Coordinator for District 2) stated that recipients should have already been notified.

Jermaine (FHWA) announced that Sue Kiser will be retiring the first of the year 2012. They are planning for a retirement gathering around December 7, 2011.

## **5. Follow-up Items from Last Meeting**

### **A. Flex Fund Transfer Template – Sri Srinivasan (MTC).**

The updated spreadsheet was provided by Abhijit at the beginning of the meeting. Abhijit also recommended that since this item has been an ongoing item for more than one year that the item continue, but not be part of the CFPG agenda until the effort is complete. Sri agreed.

Sri noted that she had no real update. She sent the template again, but received no further information. Sri provided Caltrans DMT a spreadsheet with the information to date to help identify the delays in flex fund transfers.

*MPOs should send any additional information to Sri within the next two weeks.*

### **B. Federal Register Listing Requirements - Sam Kaur (SJCOG).**

Sam explained that the item is an addition to the item that Sri was working on for the flex fund transfer roadblocks, stating that the issue is whether the federal register listing of partial apportionments of RSTP and CMAQ (particularly during continuing resolutions) affects the ability of agencies to effect flex fund transfers. Sam has been working with FHWA Planning and Finance about how these funds are transferred. Sam has received a chart that describes the process of how the funds are transferred. According to the chart and statements from FHWA finance the federal register listings do not affect the ability of agencies to transfer funds from FHWA to FTA grants.

*Sam will provide the USDOT flex fund transfer chart to Abhijit for distribution.*

### **C. FHWA Finance Office to Assist Sam – Jermaine Hannon (FHWA)**

Jermaine and Sam addressed this item together – to jog Jermaine’s memory – Jermaine forwarded Sam’s email to the finance office and the finance office did respond to Sam.

### **D. Air Quality Conformity Related to Road Diets - Sri Srinivasan (MTC)**

The item is regarding a conversation about “road diets” that followed the agenda item from last meeting regarding the widening of 1-lane bridge to a 2-lane bridge. Sri was to send an email to Mike Brady regarding air quality conformity in relation to road diets. Mike received the email just recently, but committed to work with FHWA to seek an answer.

*This item will be modified to the “road diets” issue for the next agenda*

### **E. JARC and JARC/5307 Transfer Projects - Abhijit Bagde (Caltrans) – Item completed.**

Abhijit sent out the listings on August 1, 2011, and the information is also posted on Caltrans Federal Programming website.

### **F. Lapse Fund Report – Michael Lange (Caltrans DMT)**

Michael noted that this item was handed to him last week and he will begin working on the report. If MPOs/5307 recipients have not sent the information, they need to send the obligation updates to Caltrans-DMT and Caltrans-in order to provide a lapse fund report for distribution by the Caltrans Federal Programming Office.

*Michael will complete the 5307 lapse fund report and forward a copy to Abhijit to be distributed to the group.*

**G. FTA 5307 (Governor's apportionment) for Small Urban Areas – Mike Lange (DMT)**

Governor's apportionment is the FTA 5307 funds that are passed directly to the Governor's Office, who passes them to the DMT of Caltrans for distribution to the MPOs. DMT has delegated the management of these funds to the MPOs to reduce the workload in DMT. The new census may change the apportionments, recipients, and the delegation pathway. Caltrans-DMT will work with MPOs and recipients to develop guidance and delegation for FTA 5307 (Governor's apportionment) for small urban areas.

*Michael will complete the FTA 5307 Governor's apportionment report and forward a copy to Abhijit for distribution.*

**Comments on Updates**

Sri (MTC) stated that MPOs and project sponsors are receiving inconsistent project/funding lists for JARC and other transit programs. One list comes from the programming office and another from DMT with different information.

*Michael will look into why the lists are inconsistent and report back to Abhijit*

**6. Schedule for the next FTIP/FSTIP - Update**

Sri (MTC) thanked all of the participants, particularly the state and federal agencies for their timely responses to our questions. The results of the meetings were finalized in a decision to remain with the two year update schedule.

Muhaned also thanked the members of the task force and reiterated that we are remaining with the two-year update schedule. One of the primary reasons for keeping the two-year update is so that we keep the availability of two years for EPSP. Unless the new legislation gives us additional programming years, the two-year update schedule is the only way to maintain at least two years of EPSP availability. Both the state and many regions use EPSP to manage our FTIPs. Another reason for maintaining the two-year schedule is consistency in statewide development – having all of the MPOs on the same schedule. The 2013 schedule will be essentially the same (month to month) as the 2011 update schedule. The schedule was emailed to the MPOs prior to the meeting.

Sam Kaur (SJCOG) also thanked Caltrans and FHWA for their assistance and Sri for starting the conversation and chairing the task force. Sam then discussed the potential effect of 2011 EMFAC versus the 2007 EMFAC in modeling conformity for the 2013 FTIPs in the San Joaquin Valley (as well as other regions). Sam asked if FHWA had made any determinations as to when the EMFAC 2011 will be implemented and if the latest planning assumptions will be coordinated with that implementation. Abhijit recommended that we continue the conversation as part of Item 12.

Muhaned continued the conversation about the coordination of the FSTIP development on the established schedule. The first order of business is to establish the apportionment levels for programming in our 2013 FTIPs. Kang stated that Caltrans has established the four year apportionment levels of CMAQ and RSTP to be the same as the "actual" funding levels for FY 2010-11 for each of the four years. Caltrans will update the FY 2011-12 levels to that amount as well.

Sookyung Kim (SANDAG) asked about the usual annual increase. Muhaned explained that the FY 2010-11 "actuals" were about 15% higher than the "estimated" amounts and that using these amounts result in a higher apportionment than using the estimated and adding the 2% annual inflationary rate.



Jose-Luis (SACOG) commented on the potential effect of the pending federal authorization on these apportionment levels. Muhaned stated that adjustments would be made as necessary

*The apportionment tables will be sent out within the next few weeks.*

#### **7. FTIP/FSTIP Development Workshop – Lima Huy**

Lima stated that in planning the 2013 FTIP/FSTIP workshop she would like feedback about the workshop options. As most of the people at this meeting know the last workshop was very extensive and took two full days. Lima will email a survey this afternoon to gather feedback from the potential participants. Once the feedback is received the workshop will be developed based on the reported needs and desires. The tentative schedule is for January 18-19, 2012 at SACOG.

*Lima will email a survey*

#### **8. CMAQ Annual Report – Kang Tang (CT Federal Programming Office)**

Kang stated that the pre-populated templates and the request for the Annual CMAQ Report had been emailed to the regional agencies. The reports are due back to the programming office by December 30, 2011. Reports not received by that date will not have their data included in the statewide report and will be required to submit an individual report to FHWA.

Melissa Garza (Fresno) asked if the agencies could receive some form of confirmation that their report had been received. Muhaned stated that they will develop some form of response.

Bruce (KCAG) asked if we had any success in finding a way to capture deobligation information. Kang stated that (in consultation with DLA) there is still no method available in the Caltrans tracking or accounting programs to capture the deobligation information.

#### **9. Division of Mass Transportation (DMT) Update – Michael Lange**

The only remaining item in the DMT update is the discussion of Toll Credits for MPOs. DMT is working with MPOs to update their project lists, award amounts, and award dates to prepare the report for Caltrans Budgets.

Sarkes (SBCAG) asked about the next call for projects for JARC/New Freedom funding programs. Michael stated that he would check with the office that manages those programs and get back to Sarkes.

#### **10. CMAQ Program Procedures - Update**

Lima reported on the CMAQ Team that is working to develop a procedures document to assist in the understanding and utilization of CMAQ funds in CA. The Team has met three times, has finalized the Team Charter and the document outline, and is finalizing the Roles and Responsibilities section of the document. The next section to be accomplished will be on MPO project selection and delivery. (NOTE: for the purposes of this document the term MPO is generalized to represent RTPAs and CMAs that also act as project selection agencies).

Ivan Garcia (BCAG) informed the group that the RTPA group had formed a committee to

accumulate a list of best practices in CMAQ delivery.

#### ADDITIONAL DISCUSSION:

Stew Sonnenberg and Jermaine Hannon (FHWA) informed the group that “Buy America” does apply to all CMAQ projects and that there has been an increase in the FHWA project review process from a “sampling” level to a 100% project review level for this and other issues. Jermaine noted that it is all but impossible to purchase a vehicle made completely with American steel and a buy America waiver is required for those vehicle purchases. Jermaine noted that they have been trying to address the issues on a programmatic level, but have decided that to resolve the problems moving to a 100% project review process is necessary.

Muhaned asked Jermaine to confirm that the review would happen at the time of the request for authorization (RFA) and how the increased reviews would impact the authorization process. Jermaine confirmed that the review happens at authorization, but FHWA is hoping that it will not delay the authorization time frame.

Jermaine also noted that the increased review is not just for CMAQ projects, but for all projects utilizing federal funds. Wade Hobbs (FHWA) noted that they have refined the review process to be timelier and hope that this will reduce the actual review time and result in no noticeable time delays in authorization.

Lima (CT Federal Programming Office) asked what issues triggered this change. Jermaine stated that there were a number of inconsistencies, things going through the filter, and need to find out where the systemic problems are so that addressing them on a programmatic level is possible. Jermaine also noted that there is no specific timeline as to how long the 100% review process will last. It may go back to a sampling review after the data is gathered. Lima asked what the feedback would be for the data.

Muhaned noted that in some areas that the CMAQ guidance was very general and does not address every possible scenario and that it opens the program to inconsistencies. When Caltrans gets questions on a potential eligibility gray area, they consult with FHWA to get a determination. Caltrans uses each determination to establish a precedent for future decisions. Muhaned encouraged a report that would add to their collection of determinations for future decisions.

#### **11. Use of toll credits for the state managed programs for FFY 2011/12**

Abhijit reported that Caltrans had received a number of inquiries from MPOs about the policy regarding the use of toll credits for the state managed programs (SHOPP, HBP, STIP, Minor, HM, etc). The Caltrans Division of Budgets has stated that under the current policy toll credits can only be used for FY 11/12. Caltrans will be working with FHWA to decide what will occur for future years. Another question was which state managed programs are using toll credits? For now SHOPP, STIP, and HBP (for projects that are off the federal aid system only) programs are using toll credits.

For MPOs that are developing their 2013 FTIPs, use the usual federal/non-federal match for programming projects beyond FY 11/12. Sam asked if this applies only to state managed programs or for all programs currently using toll credits. Abhijit stated that this is for all programs and we will have to work on a continuing policy for toll credits beyond FY 11/12. Sam recommended that Muhaned bring up the extension of the toll credits policy



at the RTPA meeting because the Budgets office (Steven Keck) is present at these meetings and can provide information on any extension of the existing policy.

Bruce commented on the issue of moving projects across years and how the toll credits apply when this occurs. The example is two SHOPP projects one is moved from FY 11/12 to FY 13/14 and one from FY 13/14 to FY 11/12, but no mention is made of how the toll credits are affected. Do the toll credits drop off of the project moved out beyond FY 11/12? Do the toll credits automatically apply to a project moved into FY 11/12? Is there going to be some direction by the SHOPP office when this occurs? Abhijit stated that this is a situation that would require consultation with Caltrans.

## **12. EMFAC 2011**

Mike Brady stated that the 2011 EMFAC was released September 30, 2011. It is available on ARB's website and is very different than the prior versions. The changes in the program include new models, new scenarios, and the requirement for Windows 7 to run the software. The models and scenarios are a new direction for EMFAC, but the process of implementing new conformity software is not. Additionally, the test runs to date have shown a difficulty in meeting the budgets set with 2007 EMFAC using the 2011 software.

Although the software has been released, it is not available for use in determining conformity. The EPA and FHWA have yet to complete their required reviews of the program. We do not have a precise answer which program we will be able to use for the 2013 FTIP conformity.

Sam Kaur asked Mike how long he expects the EPA to take to evaluate the software. Mike replied that it usually takes about six months. Sam asked Jermaine to review the FHWA role in establishing the implementation date for the new conformity software. Jermaine stated that this is the subject matter for a meeting on Tuesday (10/25/11) with EPA, FHWA, FTA, Caltrans and ARB. The meeting is to coordinate the implementation of EMFAC and the latest planning assumptions. Mike Brady added that the important issue is to avoid having diverging transition periods of EMFAC and the planning assumptions, which resulted in a statewide conformity lockdown in the past.

Muhaned stated that the adopted schedule for the FSTIP will be presented at the meeting as information to help decide when the implementation will take place. Jermaine stated that this would probably be the decisive factor in the discussion.

Muhaned asked if there would be a follow-up conference call to discuss the implementation. Stew Sonnenberg (FHWA) stated that FHWA would be sending out a letter after the coordination meeting that informs the MPOs and the state about the implementation schedule.

## **13a. Follow-up Items**

*4. Add-ons; Programming Office will send out the SRTS listing as soon as it is received from DLA*

*5.A. MPOs to send any additional information to Sri within the next two weeks.*

*5.D. This item will be modified to the "road diets" issue for the next agenda*

*5.F. Michael Lange will complete the 5307 Lapse Fund report and forward a copy to Abhijit*

*to be distributed to the group*

*5.G. Michael Lange will complete the 5307 Governor's Apportionment report on the and forward a copy to Abhijit to be distributed to the group*

*5. Comments. Michael Lange will look into why the lists are inconsistent and report back to Abhijit*

*6. The RSTP-CMAQ apportionment tables will be sent out within the next few weeks*

*7. Lima will email a survey for feedback on the FTIP/FSTIP Workshop*

*13. Muhaned will forward the AASHTO report on programming to Abhijit to distribute it to the group.*

#### **13b. Open Forum**

Yin Ping (Ct Local Assistance) in reply to a question from Sri, stated that the bridge programming for the 2013 FTIPs will have toll credits programmed.

Mike Brady reported that the EPA is working on the new Ozone standards. This will change the distribution of CMAQ based on new classifications of non-attainment areas.

Sri noted the FTA Title VI changes and the pending webinars.

#### **13c. Future Agenda Items**

none

#### **14. Future Meeting Dates**

December 13, 2011 @ MTC

## **Handout No. 1 for Item No. 6**

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2013 FTIPs/FSTIP update timeline				
Date	Action	By	Comments	
3-Sep-12	Deadline Draft FTIPs to Caltrans	MPOs	MPOs must submit draft FTIPs to Caltrans at the start of the FTIPs public review period	
1-Oct-12	Deadline Final FTIPs to Caltrans	MPOs		
8-Oct-12	Start of FSTIP Public Participation	Caltrans	21 days FSTIP PP	
29-Oct-12	End of FSTIP Public Participation	Caltrans		
15-Nov-12	FSTIP submittal to FHWA/FTA	Caltrans		
17-Dec-12	FSTIP Federal approval	FHWA/FTA		

## **Handout No. 2 for Item No. 5-1**

Agency/NO	Transfer No	Date	Grant No	Fiscal Year \$	Prog Code	State EA	Location	Character of Work	Transfer Amount	Date Funds Withdrawn from FMS	Date Funds Apportioned by FTA & available	Date Submitted by Sponsor to CT District	Date Submitted by District to CT HQ	Date Submitted by Caltrans HQ to FHWA CA Division	Date Submitted by FHWA CA Division to FHWA D.C.	Date Funds "Obligated" (Withdrawn from FMS)	Amount "Obligated" (Withdrawn from FMS)	Date Funds Received by FTA	Date Funds approved in FTA Grant and Available	Comments
MTC	CA-11-006	11/22/2010	CA-11-143	FY10	L40R	10957050	San Joaquin Regional Transit District	BRT Phase II Airport Way to Downtown Transit Center	\$974,823	11/22/2010					11/22/2010	11/22/2010	\$974,823			
MTC	CA-11-007	1/21/2011	CA-95-X149	FY11	L40E	04325557	San Francisco Bay Area Rapid Transit District	24th/Mission St. BART Plaza redesign and West Dublin BART Station Streetscape Enhancements	\$2,969,000	2/2/2011		9/29/2011	9/29/2011	11/4/2010	1/21/2011	2/2/2011	\$2,969,000			
MTC	CA-11-008	1/21/2011	CA-95-X157	FY11	L40E	04325570	Union City	Construction of the City of Union City's East Plaza	\$4,450,000	2/2/2011		10/12/2010	11/1/2010	11/15/2010	1/21/2011	2/2/2011	\$4,450,000			
MTC	CA-11-009	2/15/2011	CA-95-X182	FY11	L40E	04955111	City of Vacaville	Vacaville Intermodal Station, Phase II - Parking Garage, approximately 400 spaces	\$975,000	2/18/2011		1/14/2011	1/27/2011	2/1/2011	2/15/2011	2/18/2011	\$975,000			
MTC	CA-11-010	2/15/2011	CA-95-X180	FY11	L40E	04955117	City of Santa Rosa	Pedestrian and Transit Improvements - upgrade of ADA pedestrian ramps and installation of bus shelters	\$1,045,000	2/18/2011		1/27/2011	2/1/2011	2/1/2011	2/15/2011	2/18/2011	\$1,045,000			
MTC	CA-11-011	2/15/2011	CA-95-X120	FY11	L40E	07933923	Los Angeles County Metropolitan Transit Authority	Replace 4 diesel buses with CNG fueled buses	\$687,000	2/22/2011				12/27/2011	2/15/2011	2/22/2011	\$687,000			
MTC	CA-11-012	2/15/2011	CA-95-X144	FY11	L40E & L24E	04955790	San Mateo County Transit District	Preventative Maintenance for the Damages' fees and making the Last Mile Connection Program	\$7,715,000	2/16/2011		1/3/2011	1/13/2011	1/26/2011	2/15/2011	2/18/2011	\$7,715,000			
MTC	CA-11-013	3/11/2011	CA-95-X156	FY10	L23E	04955539	Golden Gate Bridge Highway	Preventative Maintenance Program for agency fleet and related equipment	\$5,000,000			2/22/2011	2/23/2011	2/28/2011 (3/11/2011)	3/11/2011					Note: Original FTA transfer request not received by FHWA. Received by CTHQ on 3/11/11
MTC	CA-11-014	3/11/2011	CA-95-X164	FY10	L23E	04955538	City and County of San Francisco	Market/Highway Street Transit Improvements and Phelan Loop Pedestrian and Street Beautification	\$3,920,000			2/15/2011	2/23/2011	2/28/2011 (3/11/2011)	3/11/2011					Note: Original FTA transfer request not received by FHWA. Received by CTHQ on 3/11/11
MTC	CA-11-015	3/11/2011	CA-95-X145	FY11	L40E	04955424	San Francisco Bay Area Rapid Transit District	Renovate the entry plaza to the MacArthur BART Station in Oakland and streetscape improvements (design/construction of custom bus shelter)	\$2,430,000			2/1/2011	2/4/2011	2/25/2011 (3/11/2011)	3/11/2011					Note: Original FTA transfer request not received by FHWA. Received by CTHQ on 3/11/11
OCTA/SCAG	NA	NA	CA-90-Y428	FY 06	6071 (029)	12-931837	Orange County	Rideshare Services	\$ 450,000.00	NA	NA	6/7/2006	NA	NA	NA	NA	\$ 450,000.00	NA	9/29/2006	
OCTA/SCAG	NA	NA	CA-95-0005	FY 07	6071 (034)	12-9319141	Orange County	Rideshare Services	\$ 584,000.00	NA	NA	4/26/2007	NA	NA	NA	NA	\$ 584,000.00	NA	8/28/2007	
OCTA/SCAG	NA	NA	CA-95-0005	FY 07	6071 (034)	12-9319141	Orange County	Capital leases for Vanpool Program	\$ 5,032,267.00	NA	NA	4/26/2007	NA	NA	NA	NA	\$ 5,032,267.00	NA	8/28/2007	
OCTA/SCAG	NA	NA	CA-95-0043	FY 08	6071 (034)	12-9319141	City of Irvine	Irvine Fixed Guideway Demonstration Project Study	\$ 5,200,000.00	NA	NA	3/18/2008	NA	NA	NA	NA	\$ 5,200,000.00	NA	9/22/2008	
OCTA/SCAG	NA	NA	CA-95-0082	FY 08	6071 (034)	12-9319141	Orange County	Rideshare Services	\$ 735,000.00	NA	NA	3/18/2008	NA	NA	NA	NA	\$ 735,000.00	NA	7/8/2010	
OCTA/SCAG	NA	NA	CA-66-X009	FY 09	ARRA-STP	12-9319141	City of Laguna Beach	Purchase 3 replacement automatic fuel vehicles for Laguna Beach Trolleys	\$ 500,000.00	NA	NA	7/6/2009	NA	NA	NA	NA	\$ 500,000.00	NA	3/3/2010	
OCTA/SCAG	NA	NA	CA-95-0082	FY 09	6071 (034)	12-9319141	Orange County	Metrolink Rolling Stock and Rideshare Services	\$ 16,500,000.00	NA	NA	7/16/2008	NA	NA	NA	NA	\$ 16,500,000.00	NA	7/8/2010	
OCTA/SCAG	NA	NA	CA-95-X131	FY 10	6071 (052)	12-932079L	Cities of Santa Ana and Fullerton	Santa Ana and Fullerton Transit Centers	\$ 2,102,650.00	NA	NA	2/26/2010	NA	NA	NA	NA	\$ 2,102,650.00	NA	8/16/2010	
OCTA/SCAG	NA	NA	CA-95-X142	FY 10	6071 (052)	12-932079L	City of Irvine	Irvine Transportation Center	\$ 2,350,000.00	NA	NA	3/31/2010	NA	NA	NA	NA	\$ 2,350,000.00	NA	9/20/2010	



Agency/MPO	Transfer No	Date	Grant No	Fiscal Year \$	Prog Code	State EA	Location	Character of Work	Transfer Amount	Date Funds Withdrawn from FMS	Date Funds Apportioned by FTA & available	Date Submitted by Sponsor to CT District	Date Submitted by District to CT HQ	Date Submitted by Caltrans HQ to FHWA CA Division	Date Submitted by FHWA CA Division to FHWA D.C.	Date Funds "Obligated" (Withdrawn from FMS)	Amount "Obligated" (Withdrawn from FMS)	Date Funds Received by FTA	Date Funds approved in FTA Grant and Available	Comments
OCTAVSCAG	NA	NA	CA-95-X142	FY 10	6071 (052)	12-932079L	Orange County	Bus operating assistance for paratransit	\$ 20,000,000.00	NA	NA	8/10/2010	NA	NA	NA	NA	\$ 20,000,000.00	NA	9/20/2010	
OCTAVSCAG	NA	NA	CA-55-0003	FY 11	6071 (062)	12-932121L	Orange County	Two planning earmarks to reduce congestion in Orange County	\$ 1,079,786.00	NA	NA	4/6/2011	NA	NA	NA	NA	\$ 1,079,786.00	NA	pending	
SJCOG	NA	4/1/2011	CA-95-X074	FY 10/11	L23E	NA	City of Ripon	Purchase 2 Buses and 3 Years of Operating Assistance for New Service	\$861,636.00											
StanCOG	CA-11-004	11/2/2010	CA-95-X148	FY10	L40E	10956654	City of Modesto	Purchase 2 Replacement Buses	\$715,696	11/17/2010					11/2/2010	11/17/2010	\$715,696			
StanCOG	CA-11-005	11/2/2010	CA-95-X148	FY10	L40E	10956962	City of Modesto	2 Year Operating New Bus Service	\$400,000	11/17/2010					11/2/2010	11/17/2010	\$400,000			
StanCOG	NA	5/4/2011	CA-95-X173	FY 10/11	L23E	NA	City of Modesto	Purchase 4 Replacement Buses	\$1,417,360.00											
	CA-11-001	10/20/2010	CA-95-X124	FY10	L40E & L40R	06325224	E Street Transit Corridor from San Bernardino to Loma Linda	Purchase 14 60-foot articulated CNS buses and parts and ride facilities	\$21,000,000						10/20/2010					
	CA-11-002	11/1/2010	CA-95-X141	FY10	L40R	10957031	San Joaquin Regional Transit District	Hybrid Diesel Electric Replacement Buses	\$5,000,000	11/22/2010					11/1/2010	11/22/2010	\$5,000,000			
	CA-11-003	11/2/2010	CA-95-X003	FY10	L40E	10957033	Tulare County	Purchase Paratransit Vehicle	\$220,000	11/22/2010					11/2/2010	11/22/2010	\$220,000			
	NA	NA	CA-95-X029-02	FY 10	NA	NA	Sac RT	Bus Mile Facility II	\$ 2,300,000.00	NA		5/21/2010	7/14/2009	8/11/2009	8/26/2009	NA	NA	NA	NA	NA
	NA	NA	CA-95-X075-00	FY 10	NA	NA	City of Roseville	2 Commuter Buses for Ego	\$ 600,000.00	NA		NA			NA	NA	NA	NA	NA	NA
	NA	NA	CA-95-X126-00	FY 11	NA	NA	Yolo County Transit District	2 Spare the Air Seasons	\$ 126,000.00	NA					NA	NA	NA	NA	NA	NA
	NA	NA	CA-95-X134-00	FY 10	NA	NA	Yuba-Sutter Transit	3 Commuter Buses for Ego	\$ 955,000.00	NA					NA	NA	NA	NA	NA	NA
	NA	NA	CA-95-X043-00	FY 09	NA	NA	Yolo County Transit District	Repower 9 Buses	\$ 1,168,000.00	NA					NA	NA	NA	NA	NA	NA
	NA	NA	CA-95-X069-00	FY 09	NA	NA	Yolo County Transit District	4 Buses for Repl	\$ 1,112,000.00	NA					NA	NA	NA	NA	NA	NA
	NA	NA	CA-95-X029-01	FY 09	NA	NA	City of Rancho Cordova	Rancho Cordova Shuttle	\$ 300,000.00	NA		NA			NA	NA	NA	NA	NA	NA
	NA	NA	CA-95-X091-00	FY 09	NA	NA	Yuba-Sutter Transit	3 Commuter Buses for Ego	\$ 955,000.00	NA					NA	NA	NA	NA	NA	NA
	NA	NA	CA-95-X101-00	FY 09	NA	NA	Caltrans	Hutchison Terminal Renov	\$ 243,000.00	NA					NA	NA	NA	NA	NA	NA